

# Trustee Certification of Investment Powers

Use this form to establish, add or change Trustee information on a Trust account. The Trustees authorized on this form will supersede any earlier designations.

If you have any questions, contact your investment representative. Type on screen or fill in using CAPITAL letters and black ink. If you need more room for information or signatures, use a copy of the relevant page.

## 1. Trust Information

Check the appropriate box for the Taxpayer ID Number and provide the number.	Full Legal Name of Trust			
	For the Benefit of (FBO)		Grantor	
	Date of Trust MM DD YYYY	<input type="checkbox"/> SSN <input type="checkbox"/> TIN	Social Security/Taxpayer ID Number	
<b>Legal Address</b>				
Cannot be a P.O. Box or Mail Drop.	Address			
	City	State/Province	Zip/Postal Code	Country
<b>Mailing Address</b>				
Complete only if different from Legal Address.	<input type="checkbox"/> Same as Legal Address			
	Address			
	City	State/Province	Zip/Postal Code	Country

## 2. Certification of Investment Powers

The undersigned certify that the Trust indicated in Section 1 has the following Trustees.

### Trustee 1 Information

If the Trustee is an individual, complete the First, M.I., and Last Name fields. If the Trustee is an entity, provide the name of the entity in the Entity Name field and include a resolution naming the authorized individuals.

First Name	M.I.	Last Name		
Social Security/Taxpayer ID Number	Date of Birth MM DD YYYY	Phone		
Entity Name		Email		
Country of Citizenship		Country of Tax Residence		
Type of Government-Issued ID	ID Number	State/Country of ID Issuance		
ID Issuance Date MM DD YYYY	ID Expiration Date MM DD YYYY			
<b>Legal Address</b>				
Address 1		Address 2		
City	State/Province	Zip/Postal Code	Country	

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## 2. Certification of Investment Powers *continued*

### Mailing Address

Same as Legal Address

Complete only if  
different from  
Legal Address.

Address 1		Address 2	
City	State/Province	Zip/Postal Code	Country

### Employer Information and Affiliations

Check one.  Employed  Retired  Not Employed

Provide Income Source if  
retired or not employed.

Occupation	Income Source	Employer Name	
Address 1		Address 2	
City	State/Province	Zip/Postal Code	Country

Check all that apply and  
provide information.

- You are, or an immediate family/household member is, a senior foreign political figure.
- You are, or an immediate family/household member is, a control person or affiliate of a publicly traded company under SEC Rule 144. *This would include, but is not limited to, a director, 10% shareholder, policy-making officer, and members of the board of directors.*

Company Name	CUSIP or Symbol

- You are associated with, or employed by, a stock exchange or a member firm of an exchange or Financial Industry Regulatory Authority (FINRA), or a municipal securities dealer.
- Same as employer above. *If different, provide the information below.*

Company Name			
Address 1		Address 2	
City	State/Province	Zip/Postal Code	Country

### Trustee 2 Information

*If the Trustee is an individual, complete the First, M.I., and Last Name fields. If the Trustee is an entity, provide the name of the entity in the Entity Name field and include a resolution naming the authorized individuals.*

First Name	M.I.	Last Name	
Social Security/Taxpayer ID Number	Date of Birth MM DD YYYY	Phone	
Entity Name		Email	
Country of Citizenship		Country of Tax Residence	
Type of Government-Issued ID	ID Number	State/Country of ID Issuance	
ID Issuance Date MM DD YYYY	ID Expiration Date MM DD YYYY		

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## 2. Certification of Investment Powers *continued*

### Legal Address

Cannot be a P.O. Box  
or Mail Drop.

Address 1		Address 2	
City	State/Province	Zip/Postal Code	Country

### Mailing Address

Same as Legal Address

Complete only if  
different from  
Legal Address.

Address 1		Address 2	
City	State/Province	Zip/Postal Code	Country

### Employer Information and Affiliations

Check one.  Employed  Retired  Not Employed

Provide Income Source if  
retired or not employed.

Occupation	Income Source	Employer Name	
Address 1		Address 2	
City	State/Province	Zip/Postal Code	Country

Check all that apply and  
provide information.

- You are, or an immediate family/household member is, a senior foreign political figure.
- You are, or an immediate family/household member is, a control person or affiliate of a publicly traded company under SEC Rule 144. *This would include, but is not limited to, a director, 10% shareholder, policy-making officer, and members of the board of directors.*

Company Name	CUSIP or Symbol
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- You are associated with, or employed by, a stock exchange or a member firm of an exchange or Financial Industry Regulatory Authority (FINRA), or a municipal securities dealer.
- Same as employer above. *If different, provide the information below.*

Company Name			
Address 1		Address 2	
City	State/Province	Zip/Postal Code	Country

## 3. Signatures and Dates *Form cannot be processed without signatures and dates.*

USA PATRIOT Act Notice: To help the government fight money laundering and the funding of terrorism, federal law and contractual obligations between your Broker/Dealer and us require us to obtain your name, date of birth, address and a government-issued ID number before opening your account, and to verify the information. In certain circumstances, we or your Broker/Dealer may obtain and verify comparable information for any person authorized to make transactions in an account or beneficial owners of certain entities. Further documentation is required for certain entities such as trusts, estates, corporations, partnerships and other organizations. Your account may be restricted if we cannot obtain and verify this information. We or your Broker/Dealer will not be responsible for any losses or damages (including, but not limited to, lost opportunities) that may result if your account is restricted or closed.

In the section below, "NFS," "us," and "we" refer to National Financial Services LLC and its officers, directors, employees, agents, affiliates, shareholders, successors, assigns and representatives as the context may require; "you" refers to the account owner(s) indicated on the account form and any authorized individuals; "you" refers to all account owner(s), collectively and individually; "Broker/Dealer" refers to the correspondent managing your account.

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### 3. Signatures and Dates *continued*

By signing below, you certify that:

- Every Trustee has signed below (or you are the sole Trustee, if applicable) and is authorized to make these statements.
- The Trust has not been revoked, modified, or amended in any manner that would cause the statements contained in this Trust certification to be incorrect.
- The Trust exists under all applicable laws.
- You have the authority under the Trust and applicable law to enter into transactions, delegate trading authorization to the other authorized individuals, issue instructions on this account for, and at the risk of, the Trust, and agree that any transactions and instructions will be in full compliance with the Trust.
- If allowed for by the provisions of the Trust, one or more of the Trustees listed on this form may in fact be a Power of Attorney (POA). The POA will be referred to as a Trustee throughout this document and will be subject to the same terms and conditions contained herein.
- You authorize us to accept orders and other instructions for this account from any Trustee and/or any other authorized individual or entity. This includes the authority to deliver any or all assets in the account to any Trustee (personally or otherwise), or according to any Trustee's instructions. We, at our option and for our protection, may require approval of other Trustees before acting on any such order or instruction.
- We are not responsible for any claim, loss, expense, or other liability for acting upon any instructions given by the Trustees and/or any other authorized individual or entity implementing any transactions.

- We may verify all information provided in connection with this form and account, and may obtain credit or other financial responsibility reports with respect to the Trust, the Trustees, and any authorized individuals, and you have the express consent of all individuals who may be the subject of these reports. If requested in writing, we will provide the name and address of the credit reporting agency used.
- You will inform us in writing of any change to these certifications (such as a change of Trustees).

Check if not eligible.

Margin Trading

- Indemnify and hold harmless your Broker/Dealer, NFS, FMTC, their officers, directors, employees, agents, affiliates, shareholders, successors, assigns and representatives from any claims or losses that may occur as a result of this transaction.
- Have instructed your Broker/Dealer to establish, as your agent, an account with us; have appointed your Broker/Dealer as your exclusive agent to act for and on your behalf with respect to all matters regarding your account with us, including the placing of securities purchase and sale orders, the selection of your Core position, including a Bank Deposit Sweep Program, and to act in all respects in connection with such Core position and, provided margin and/or options trading have/has been approved, delivery of margin and option instructions for your account. No fiduciary relationship exists with us. Understand that we will look solely to your Broker/Dealer and not you with

respect to such orders or instructions; and we are instructed to deliver confirmations, statements, and all other notices, including margin maintenance calls, if applicable, to your Broker/Dealer. Any such communications delivered to your Broker/Dealer shall be deemed to have been delivered to you. You agree to hold us harmless from and against any losses, costs or expenses arising in connection with the delivery or receipt of any such communication(s), provided we have acted in accordance with the above. The foregoing shall be effective until written revocation is received by us and your Broker/Dealer.

- Represent and warrant that if you have not checked the boxes for Affiliations and Corporate Control Status you are not associated with or employed by a stock exchange, the Financial Industry Regulatory Authority or a Broker/Dealer and that you are not a control person or affiliate of a public company under SEC Rule 144 (such as a director, 10% shareholder, or a policy-making officer), or an immediate family or household member of such a person.
- Warrant that this form has not been changed and is identical as originally set forth by us; understand that any alteration of the original form shall be null and void and you shall be bound by the terms of the original; acknowledge that your agreement with us may be terminated if we have reasonable grounds to believe the form has been altered.

**All Trustees must sign and date below. By signing below, the Trustee(s) hereby certify the information contained in this form is accurate and complete. If you are signing this form as a POA, you must submit a Power of Attorney Affidavit and Indemnification form, unless one is already on file for this account.**

Print Trustee Name <i>First, M.I., Last</i>	
Trustee Signature	Date <i>MM - DD - YYYY</i>
<b>SIGN</b> ▶	▶

Print Trustee Name <i>First, M.I., Last</i>	
Trustee Signature	Date <i>MM - DD - YYYY</i>
<b>SIGN</b> ▶	▶

Print Trustee Name <i>First, M.I., Last</i>	
Trustee Signature	Date <i>MM - DD - YYYY</i>
<b>SIGN</b> ▶	▶

#### For Branch Use Only

I certify to NFS that the Trust document has been properly executed, is valid under applicable federal and state laws and that all instructions to NFS comply with the terms of the Trust and any other applicable documents.

Print Office Manager/Principal Name	Signature	Date <i>MM - DD - YYYY</i>