

Trade Confirmation

 JOHN DOE & JANE DOE JTWROS
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 ANYWHERE USA

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StockCross Financial Services may make a market in the securities traded. Information available upon written request.

Bought / Sold	T*	Quantity	Description	Price	Principal / Interest	Trade / Settlement Date	Commission or Equivalent	Misc.	Service and Handling	Net Amount	CUSIP / Symbol	Trade No.	MKT* CAP*
Sell	2	8	PUT GE 04/21/12 19 GENERAL ELECTRIC CO UNSOLICITED OPEN CONTRACT	.80	640.00 0.00	02/07/12 02/08/12	10.00	0.22	0.00	629.78		A0881	6 6
Buy	2	800	GENERAL ELECTRIC CO UNSOLICITED	19.17	15,336.00 0.00	02/07/12 02/10/12	10.00	0.00	0.00	15,346.00	369604103 GE	A1183	6 6

Subject to terms and conditions on the last page of this confirmation.

This confirmation will be deemed correct in all respects unless written notice of any inaccuracy is sent to our Compliance Department.

AGREEMENT

It is agreed between StockCross Financial Services, Inc. (StockCross) and the customer that:

1. If you find any errors or omissions in this trade confirmation, please call 1-800-225-6196. Your confirmation will be deemed conclusive after 10 calendar days from trade date.
2. All transactions are subject to the rules, regulations, requirements, customs and usages of the exchange or market (and the clearing agency, if any) where executed and of any association whose rules and regulations govern transactions in that market and your account agreement(s) with StockCross. We will furnish, upon written request, the date and time when this transaction took place and the name of the other party to this transaction.
3. Securities purchased by you or held by us may be hypothecated and/or commingled with securities carried for other customers.
4. StockCross may receive remuneration from the broker/dealer or market center through which some orders are executed. The nature and source of any such remuneration in connection with a specific transaction will be disclosed to you upon written request. StockCross internalizes a portion of our order flow. When acting as principal on such transactions, we may share 100% of any profit or loss generated. Details are available upon written request.
5. All securities from time to time carried in the customer's margin account, or deposited to protect the same may be loaned or pledged by the broker either separately or with other securities of other customers.
6. Payment for all purchases or delivery of securities sold is due promptly and in any event no later than the settlement date. We reserve the right to liquidate securities or otherwise cover a position without notice at any time, including prior to settlement date, when in our judgement the value of the collateral is in jeopardy or the account poses a risk to the firm. You will be held responsible for any resulting loss.
7. Some securities including debentures, warrants and preferred stocks may be called or redeemed before maturity, which could affect yield.
8. Federal regulations require that all brokerage firms report sales transactions to the IRS unless the order was placed through an IRA, corporate or trustee account.

EXPLANATION OF CODED SYMBOLS

T* = TYPE OF ACCOUNT

1. Cash
2. Margin
3. Dividend/Interest
4. Restricted
5. Short Account
6. ESOP
9. DVP/RVP

MKT* = MARKET IN WHICH THE TRANSACTION WAS EXECUTED

1. New York Stock Exchange
2. Options : Other
3. Nasdaq and Third Market
4. Mutual Funds
5. Chicago Board Option Exchange
6. Over the Counter
7. Syndicate

CAP* = CAPACITY IN WHICH THE FIRM ACTED

- 1 - 6. Agency
 7. Principal
- C - T. Agency

StockCross protects securities customers of its members up to \$500,000, including \$250,000 for claims for cash. Explanatory brochure available upon request or at www.sipc.org. StockCross Financial Services has purchased, from a London insurer, \$20,000,000 per customer with an aggregate limit of \$50,000,000 in additional protection. Coverage does not protect against loss of market value of securities.

StockCross seeks to direct wire transfer payments so that they are submitted accurately and received promptly by its customers'. StockCross has entered into an agreement with a third party provider to facilitate foreign currency transactions for StockCross customers who request the receipt of funds in a currency other than US Dollars. The third party provider charges a fee for its foreign currency conversion services which is passed through to the customer by StockCross. A portion of this fee is retained by StockCross. The nature and source of any such remuneration in connection with a specific transaction will be disclosed to you upon written request.

For purposes of calculating cost basis, StockCross defaults to the FIFO method for all securities except mutual funds which are calculated at average cost for all purchases into the fund.

*Misc. may include foreign transaction fee, activity assessment fee for regulation, or other.