

Account Statement

Your Investment Professional :
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Investment Advisor

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JOHN DOE & JANE DOE JTROS
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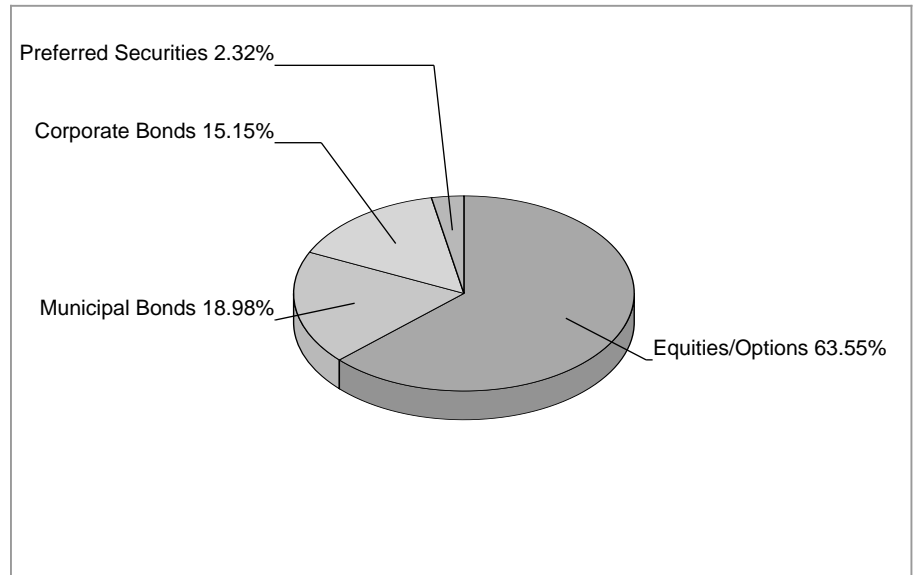
Portfolio Summary

	Previous Period	This Period	Estimated Annual Income
Equities/Options	1,111,496.25	1,261,037.50	33,898.00
Preferred Securities	49,380.00	46,030.00	3,800.00
Municipal Bonds	375,274.85	376,722.15	21,031.00
Corporate Bonds	309,587.30	300,577.80	28,799.00
Priced Portfolio Value	1,845,738.40	1,984,367.45	
Cash Balance	0.00	0.00	
Margin Balance	-773,032.28	-917,041.29	
Income Balance	3,603.08	0.00	
Total Account Equity	1,076,309.20	1,067,326.16	87,528.00

Income Summary

	This Period	Year to Date
Taxable Dividends	1,601.22	22,187.24
Taxable Bond Interest	3,641.05	28,771.68
Foreign Tax Withheld		-44.42
MLP Distributions	361.25	3,075.00
Accrued Interest On Purchases		-5,386.84
Royalties	174.18	1,844.75
Non-Taxable Muni Bond Int	4,000.00	18,343.76
Margin Interest Paid	-2,058.06	-20,771.17
Total Income	7,719.64	48,020.00

Asset Allocation



JOHN DOE & JANE DOE JTROS

News and Information

Happy Holidays! StockCross wishes you and your family a happy holiday season. The holidays are a great time for us to get to know you better. We warmly welcome you to stop by any of our offices to learn more about StockCross and to get to know the great group of people who are proudly servicing your accounts.

GO GREEN!

Make your statement even more efficient by going **paperless**. Switching to electronically delivered statements and trade confirmations is as easy as 1,2,3. Here's how:

1. Login to your account online, go to "User Settings."
2. Enter your email address and select to receive statements and/or confirmations electronically.
3. An email notification will be sent to you automatically when your documents are available.

Not only will this help StockCross to create an **environmentally-friendly** and more **efficient** business, it will also help you become more **organized** and **save time and space**. You will never have to worry about losing your important documents as they will be **available 24/7** on our **secure** website. In addition, you will never again have to wait for your documents in the mail with our **instant email delivery** notification that lets you know it's ready for download.

Investment Center

The StockCross advantage lies in your investment options. At the StockCross Investment Center, knowledgeable Investment Specialists can assist you with fixed income securities and analyze your portfolio. The following are available through the Investment Center:

- Certificates of Deposit (FDIC Insured)
- Government Agency Bonds
- Tax-Advantaged Municipal Bonds
- United States Treasury Bonds
- Corporate Bonds
- New Issue Preferred Stock
- Reverse Convertible Notes
- Closed End Funds
- Annuities and Life Insurance
- 16,000+ Mutual Funds
- Unit Investment Trusts
- Retirement & Educational Planning
- Free Portfolio Analysis
- Independent Third Party Research

FREE Planning Services and Portfolio Analysis. As always, if you are ready to start planning for your child's future or your retirement or are simply ready to build your portfolio, StockCross wants to help with our free planning services and portfolio analysis. Speak with one of our specialists today and be more prepared for tomorrow.

Activity Summary

	Debits	Credits	Balance
Beginning Balance			-769,429.20
Securities Purchased	-159,752.02		
Securities Sold		17,801.07	
Dividend/Interest Charged	-4,151.81		
Dividend/Interest Income		11,697.27	
Other Debits	-13,380.78		
Other Credits		174.18	
Ending Balance	-177,284.61	29,672.52	-917,041.29

Location(s) near you!

Beverly Hills Office 9464 Wilshire Blvd. Beverly Hills, CA 90212	Seal Beach Office 3020 Old Ranch Pkwy Suite 300 Seal Beach, CA 90740
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JOHN DOE & JANE DOE JTROS

Account Activity for the Period

Date	Type	Activity	Quantity/Shares	Description	Price	Debits	Credits
OTHER DEBITS & CREDITS							
11/01/11	Div/Int	Check		CHECK FOR DIV/I 00000308255		-3,603.08	
11/15/11	Div/Int	Royalty		PERMIAN BASIN ROYALTY TRUST UBI CASH RLY ON 500 SHS REC 10/31/11 PAY 11/15/11	.10		52.08
11/15/11	Div/Int	Royalty		SAN JUAN BASIN ROYALTY TR-UBI CASH RLY ON 1000 SHS REC 10/31/11 PAY 11/15/11	.12		122.10
11/22/11	Margin	Expired	10	PUT BP 11/19/11 39 BP P L C SPONSORED ADR			
11/22/11	Margin	Expired	6	CALL CSX 11/19/11 22.50 CSX CORP ADJ 3 FOR 1 STOCK SPLIT			
11/22/11	Margin	Expired	2	PUT GR 11/19/11 95 GOODRICH CORPORATION			
11/22/11	Margin	Expired	15	PUT MSFT 11/19/11 24 MICROSOFT CORP			
11/22/11	Margin	Expired	10	PUT MDT 11/19/11 32 MEDTRONIC INC			
11/22/11	Margin	Expired	5	PUT MO 11/19/11 26 ALTRIA GROUP INC			
11/30/11	Div/Int	Check		CHECK FOR DIV/I 00000309277		-9,777.70	
TOTAL OTHER DEBITS & CREDITS						-13,380.78	174.18
Date	Type	Activity	Quantity/Shares	Description	Price	Debits	Credits
SECURITIES PURCHASED AND SOLD							
11/01/11	Margin	Sold	-10	PUT INTC 12/17/11 25 INTEL CORP 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	1.09		1,089.74

JOHN DOE & JANE DOE JTROS

Account Activity for the Period - (Continued from previous page)

Date	Type	Activity	Quantity/Shares	Description	Price	Debits	Credits
11/01/11	Margin	Sold	-10	PUT EXC 12/17/11 43 EXELON CORP 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	.65		649.75
11/01/11	Margin	Sold	-10	PUT WAG 12/17/11 34 WALGREEN CO 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	1.56		1,559.74
11/02/11	Margin	Sold	-10	PUT INTC 12/17/11 25 INTEL CORP 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	1.54		1,539.74
11/02/11	Margin	Sold	-10	PUT EXC 12/17/11 43 EXELON CORP 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	1.02		1,019.75
11/02/11	Margin	Sold	-10	PUT WAG 12/17/11 34 WALGREEN CO 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	2.27		2,269.72
11/10/11	Margin	Sold	-10	CALL BP 01/21/12 45 BP P L C SPONSORED ADR 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	1.53		1,529.74
11/10/11	Margin	Bought	30	CALL INTC 12/17/11 21 INTEL CORP 'UNSOLICITED INTERNET ORDER' CLOSING CONTRACT	3.25	-9,750.68	
11/15/11	Margin	Bought	500	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) 'UNSOLICITED INTERNET ORDER'	43.00	-21,500.00	
11/15/11	Margin	Bought	500	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) 'UNSOLICITED INTERNET ORDER'	42.35	-21,175.00	
11/15/11	Margin	Bought	100	BECTON DICKINSON & CO 'UNSOLICITED INTERNET ORDER'	73.16	-7,316.00	
11/15/11	Margin	Bought	3,000	INTEL CORP 'UNSOLICITED INTERNET ORDER'	24.00	-72,000.00	

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Account Activity for the Period - (Continued from previous page)

Date	Type	Activity	Quantity/Shares	Description	Price	Debits	Credits
11/16/11	Margin	Sold	-30	CALL MSFT 01/19/13 30 MICROSOFT CORP 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	1.56		4,679.23
11/16/11	Margin	Bought	10	CALL ABT 11/19/11 50 ABBOTT LABORATORIES 'UNSOLICITED INTERNET ORDER' CLOSING CONTRACT	4.60	-4,600.23	
11/21/11	Margin	Sold	-10	CALL JNJ 01/21/12 65 JOHNSON & JOHNSON 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	1.25		1,249.74
11/21/11	Margin	Sold	-30	PUT CSCO 12/17/11 17 CISCO SYSTEMS INC 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	.20		599.30
11/21/11	Margin	Bought	5	CALL JNJ 11/19/11 65 JOHNSON & JOHNSON 'UNSOLICITED INTERNET ORDER' CLOSING CONTRACT	.02	-10.11	
11/23/11	Margin	Sold	-10	CALL MO 03/17/12 27 ALTRIA GROUP INC 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	1.31		1,309.74
11/29/11	Margin	Bought	500	CVS CAREMARK CORPORATION 'UNSOLICITED INTERNET ORDER'	37.00	-18,500.00	
11/29/11	Margin	Bought	500	F N B CORP-PA 'UNSOLICITED INTERNET ORDER'	9.80	-4,900.00	
11/30/11	Margin	Sold	-5	CALL EPD 01/21/12 47 ENTERPRISE PRODUCTS PARTNERS 'UNSOLICITED INTERNET ORDER' OPEN CONTRACT	.61		304.88
TOTAL SECURITIES PURCHASED AND SOLD						-159,752.02	17,801.07

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Account Activity for the Period - (Continued from previous page)

Date	Type	Activity	Quantity/Shares	Description	Price	Debits	Credits
DIVIDENDS/INTEREST CHARGED							
11/04/11	Div/Int	Interest		DYNEGY HLDGS INC SR NT DUE 05/01/2016 8.375 REG INT ON 50000 BND REC 10/14/11 PAY 11/01/11 CO ELECTED NOT TO MAKE PYMNT	8.37	-2,093.75	
11/30/11	Margin	Interest		FROM 10/31 THRU 11/29 @ 3 % BAL 915,288 AVBAL 823,224		-2,058.06	
TOTAL DIVIDENDS/INTEREST CHARGED						-4,151.81	
Date	Type	Activity	Quantity/Shares	Description	Amount	Debits	Credits
DIVIDENDS/INTEREST INCOME							
11/01/11	Div/Int	Interest		ALBERTSONS INC SR DEB DUE 05/01/2030 8.700 REG INT ON 40000 BND REC 10/14/11 PAY 11/01/11	8.70		1,740.00
11/01/11	Div/Int	Dividend		BANK OF AMERICA CORPORATION DEPOSITARY SH REPSTG 1/1000TH PFD SER H 8.2% CASH DIV ON 1000 SHS REC 10/15/11 PAY 11/01/11	.51		512.50
11/01/11	Div/Int	Dividend		CVS CAREMARK CORPORATION CASH DIV ON 3800 SHS REC 10/21/11 PAY 11/01/11	.12		475.00
11/01/11	Div/Int	Interest		CALIFORNIA ST G/O BDS DUE 11/01/2037 5.000 REG INT ON 50000 BND REC 10/14/11 PAY 11/01/11	5.00		1,250.00
11/01/11	Div/Int	Interest		COUNTRYWIDE CAP V 7% GTD CAP SECS DUE 11/01/36 REG INT ON 1000 BND REC 10/31/11 PAY 11/01/11	.43		437.50

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Account Activity for the Period - (Continued from previous page)

Date	Type	Activity	Quantity/Shares	Description	Amount	Debits	Credits
11/01/11	Div/Int	Interest		DYNEGY HLDGS INC SR NT DUE 05/01/2016 8.375 REG INT ON 50000 BND REC 10/14/11 PAY 11/01/11	8.37		2,093.75
11/01/11	Div/Int	Interest		SOUTHERN CALIF PUB PWR AUTH NA REV BDS 2007 DUE 11/01/2033 5.000 REG INT ON 100000 BND REC 10/14/11 PAY 11/01/11	5.00		2,500.00
11/09/11	Div/Int	Dividend		ENTERPRISE PRODUCTS PARTNERS LP DIST ON 500 SHS REC 10/31/11 PAY 11/09/11	.61		306.25
11/14/11	Div/Int	Dividend		NATURAL RESOURCE PARTNERS L P DIST ON 100 SHS REC 11/04/11 PAY 11/14/11	.55		55.00
11/15/11	Div/Int	Dividend		ABBOTT LABORATORIES CASH DIV ON 1000 SHS REC 10/14/11 PAY 11/15/11	.48		480.00
11/15/11	Div/Int	Interest		GENERAL MTRS ACCEP CORP SMARTNOTES DUE 01/15/2025 7.250 REG INT ON 100000 BND REC 10/31/11 PAY 11/15/11	7.25		604.17
11/15/11	Div/Int	Interest		LONG BEACH CALIF BD FIN AUTH NAT GAS PUR SER A DUE 11/15/2035 5.000 REG INT ON 10000 BND REC 10/31/11 PAY 11/15/11	5.00		250.00
11/15/11	Div/Int	Interest		TENET HEALTHCARE CORP DUE 11/15/2031 6.875 REG INT ON 25000 BND REC 11/01/11 PAY 11/15/11	6.87		859.38
11/22/11	Div/Int	Dividend		PROSPECT CAPITAL CORPORATION CASH DIV ON 900 SHS REC 10/31/11 PAY 11/22/11	.10		91.22

JOHN DOE & JANE DOE JTROS

Account Activity for the Period - (Continued from previous page)

Date	Type	Activity	Quantity/Shares	Description	Amount	Debits	Credits
11/25/11	Div/Int	Dividend		PEABODY ENERGY CORPORATION CASH DIV ON 500 SHS REC 11/03/11 PAY 11/25/11	.08		42.50
TOTAL DIVIDENDS/INTEREST INCOME							11,697.27

Equities/Options : 63.55%

Security Description	Account Type	Symbol/ Cusip	Quantity	Current share price*	Current market value*	% of portfolio	Estimated annual income	Estimated annual yield
ABBOTT LABORATORIES	Margin	ABT	1,000	54.55	54,550.00	2.70%	1,920.00	3.52%
ALTRIA GROUP INC	Margin	MO	500	28.69	14,345.00	0.70%	820.00	5.72%
ANNALY CAPITAL MANAGEMENT INC	Margin	NLY	1,000	16.07	16,070.00	0.80%	2,400.00	14.93%
ARCH COAL INC	Margin	ACI	200	16.39	3,278.00	0.20%	88.00	2.68%
BECTON DICKINSON & CO	Margin	BDX	100	73.78	7,378.00	0.40%	180.00	2.44%
BP P L C SPONSORED ADR (FRM BP AMOCO PLC)	Margin	BP	4,000	43.55	174,200.00	8.80%	6,720.00	3.86%
CALL BA 01/21/12 72.50 BOEING CO EXP 01/21/2012	Margin		-5	1.30	-650.00	0.00%		
CALL BP 01/21/12 45 BP P L C SPONSORED ADR EXP 01/21/2012	Margin		-10	1.40	-1,400.00	0.10%		
CALL BP 01/21/12 45 BP P L C SPONSORED ADR EXP 01/21/2012	Margin		10	1.40	1,400.00	0.10%		
CALL BP 12/17/11 45 BP P L C SPONSORED ADR EXP 12/17/2011	Margin		-20	.46	-920.00	0.00%		
CALL CVS 01/21/12 37 CVS CAREMARK CORPORATION EXP 01/21/2012	Margin		-38	2.55	-9,690.00	0.50%		

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Equities/Options : 63.55% (Continued from previous page)

Security Description	Account Type	Symbol/ Cusip	Quantity	Current share price*	Current market value*	% of portfolio	Estimated annual income	Estimated annual yield
CALL EPD 01/21/12 47 ENTERPRISE PRODUCTS PARTNERS EXP 01/21/2012	Margin		-5	.50	-250.00	0.00%		
CALL GOOG 12/17/11 580 GOOGLE INC EXP 12/17/2011	Margin		-4	24.50	-9,800.00	0.50%		
CALL GSK 01/21/12 45 GLAXOSMITHKLINE PLC EXP 01/21/2012	Margin		4	1.05	420.00	0.00%		
CALL JNJ 01/21/12 65 JOHNSON & JOHNSON EXP 01/21/2012	Margin		-10	1.45	-1,450.00	0.10%		
CALL JNJ 12/17/11 65 JOHNSON & JOHNSON EXP 12/17/2011	Margin		-5	.75	-375.00	0.00%		
CALL MO 03/17/12 27 ALTRIA GROUP INC EXP 03/17/2012	Margin		-10	2.06	-2,060.00	0.10%		
CALL MO 12/17/11 28 ALTRIA GROUP INC EXP 12/17/2011	Margin		-10	.85	-850.00	0.00%		
CALL MSFT 01/19/13 30 MICROSOFT CORP EXP 01/19/2013	Margin		-30	1.14	-3,420.00	0.20%		
CALL MSFT 12/17/11 25 MICROSOFT CORP EXP 12/17/2011	Margin		-30	.83	-2,490.00	0.10%		
CALL PM 12/17/11 72.50 PHILIP MORRIS INTERNATIONAL EXP 12/17/2011	Margin		-5	4.05	-2,025.00	0.10%		
CALL SLV 01/21/12 40 ISHARES SILVER TR EXP 01/21/2012	Margin		-10	.20	-200.00	0.00%		
CSX CORP	Margin	CSX	1,100	21.71	23,881.00	1.20%	528.00	2.21%

JOHN DOE & JANE DOE JTROS

Equities/Options : 63.55% (Continued from previous page)

Security Description	Account Type	Symbol/ Cusip	Quantity	Current share price*	Current market value*	% of portfolio	Estimated annual income	Estimated annual yield
CVS CAREMARK CORPORATION	Margin	CVS	4,300	38.84	167,012.00	8.40%	2,150.00	1.29%
ENTERPRISE PRODUCTS PARTNERS LP	Margin	EPD	500	45.49	22,745.00	1.10%	1,225.00	5.39%
F N B CORP-PA	Margin	FNB	500	10.66	5,330.00	0.30%	240.00	4.50%
GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL)	Margin	GSK	200	44.48	8,896.00	0.40%	441.00	4.96%
GOOGLE INC CLA	Margin	GOOG	400	599.39	239,756.00	12.10%		
INDYMAC BANCORP INC	Margin	456607100	1,000		0.00	0.00%		
INTEL CORP	Margin	INTC	6,000	24.91	149,460.00	7.50%	5,040.00	3.37%
ISHARES SILVER TR ISHARES	Margin	SLV	1,000	32.00	32,000.00	1.60%		
JOHNSON & JOHNSON	Margin	JNJ	500	64.72	32,360.00	1.60%	1,140.00	3.52%
MICROSOFT CORP	Margin	MSFT	6,000	25.58	153,480.00	7.70%	4,800.00	3.13%
NATURAL RESOURCE PARTNERS L P	Margin	NRP	100	27.52	2,752.00	0.10%	220.00	7.99%
PEABODY ENERGY CORPORATION	Margin	BTU	500	39.23	19,615.00	1.00%	170.00	0.87%
PERMIAN BASIN ROYALTY TRUST UBI	Margin	PBT	500	20.17	10,085.00	0.50%	611.00	6.06%
PHILIP MORRIS INTERNATIONAL INC	Margin	PM	500	76.24	38,120.00	1.90%	1,540.00	4.04%
PROSPECT CAPITAL CORPORATION	Margin	PSEC	900	9.28	8,352.00	0.40%	1,095.00	13.11%
PUT BTU 12/17/11 60 PEABODY ENERGY CORPORATION EXP 12/17/2011	Margin		-5	21.50	-10,750.00	0.50%		
PUT CSCO 12/17/11 17 CISCO SYSTEMS INC EXP 12/17/2011	Margin		-30	.09	-270.00	0.00%		
PUT EXC 12/17/11 43 EXELON CORP EXP 12/17/2011	Margin		-20	.25	-500.00	0.00%		

JOHN DOE & JANE DOE JTROS

Equities/Options : 63.55% (Continued from previous page)

Security Description	Account Type	Symbol/ Cusip	Quantity	Current share price*	Current market value*	% of portfolio	Estimated annual income	Estimated annual yield
PUT INTC 12/17/11 25 INTEL CORP EXP 12/17/2011	Margin		-20	.67	-1,340.00	0.10%		
PUT RIMM 12/17/11 26 RESEARCH IN MOTION LTD EXP 12/17/2011	Margin		-2	8.25	-1,650.00	0.10%		
PUT WAG 12/17/11 34 WALGREEN CO EXP 12/17/2011	Margin		-20	1.23	-2,460.00	0.10%		
RIO TINTO PLC SPONSORED ADR	Margin	RIO	500	53.07	26,535.00	1.30%	584.00	2.20%
SAN JUAN BASIN ROYALTY TR-UBI	Margin	SJT	1,000	24.19	24,190.00	1.20%	1,622.00	6.71%
SILVER WHEATON CORP	Margin	SLW	500	33.58	16,790.00	0.80%	180.00	1.07%
SILVERCORP METALS INC	Margin	SVM	500	7.63	3,815.00	0.20%	48.00	1.26%
SPROTT RESOURCE CORP	Margin	SCPZF	12,500	4.020	50,252.50	2.50%		
XEROX CORP	Margin	XRX	800	8.15	6,520.00	0.30%	136.00	2.09%
TOTAL EQUITIES/OPTIONS					1,261,037.50	63.30%	33,898.00	

Preferred Securities : 2.32%

Security Description	Account Type	Symbol/ Cusip	Quantity	Current share price*	Current market value*	% of portfolio	Estimated annual income	Estimated annual yield
BANK OF AMERICA CORPORATION DEPOSITARY SH REPSTG 1/1000TH PFD SER H 8.2%	Margin	BACPRH	1,000	22.05	22,050.00	1.10%	2,050.00	8.20%
COUNTRYWIDE CAP V 7% GTD CAP SECS DUE 11/01/36	Margin	CFCPRB	1,000	20.08	20,080.00	1.00%	1,750.00	7.00%
FEDERAL NATIONAL MORTGAGE ASSOCIATION 8.25% PFD SER S	Margin	FNMAS	2,000	1.95	3,900.00	0.20%		

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Preferred Securities : 2.32% (Continued from previous page)

Security Description	Account Type	Symbol/ Cusip	Quantity	Current share price*	Current market value*	% of portfolio	Estimated annual income	Estimated annual yield
TOTAL PREFERRED SECURITIES					46,030.00	2.30%	3,800.00	

Municipal Bonds : 18.98%

Security Description	Account Type	Symbol/ Cusip	Quantity	Current share price*	Current market value*	% of portfolio	Estimated annual income	Estimated annual yield
CALIFORNIA ST DEPT VET AFFAIRS HOME PUR REV 2007 SER A INTEREST FROM DATE 06/27/07 PAR CALL 12/01/2016 DUE 12/01/2042 5.000% JD 01 ALTERNATIVE MINIMUM TAX	Margin	130658KD8	50,000	94.586	47,293.00	2.40%	2,500.00	5.00%
CALIFORNIA ST G/O BDS INTEREST FROM DATE 11/01/07 PAR CALL 11/01/2017 DUE 11/01/2037 5.000% MN 01	Margin	13063AJK1	50,000	100.504	50,252.00	2.50%	2,500.00	5.00%
CALIFORNIA STATEWIDE CMNTYS DEV AUTH EAH-EAST CAMPUS APTS LLC-SER A INTEREST FROM DATE 12/01/02 ORIGINAL ISSUE DISCOUNT PAR CALL 08/01/2012 AMERICAN CAPITAL ACCESS INS DUE 08/01/2034 5.625% FA 01	Margin	13078RCL2	25,000	97.553	24,388.25	1.20%	1,406.00	5.63%
GOLDEN ST TOB SECURITIZATION TOBACCO SETTLEMENT ASSET 2007 INTEREST FROM DATE 03/14/07 PAR CALL 06/01/2017 DUE 06/01/2047 5.750% JD 01	Margin	38122NPA4	50,000	70.317	35,158.50	1.80%	2,875.00	5.75%

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Municipal Bonds : 18.98% (Continued from previous page)

Security Description	Account Type	Symbol/ Cusip	Quantity	Current share price*	Current market value*	% of portfolio	Estimated annual income	Estimated annual yield
LONG BEACH CALIF BD FIN AUTH NAT GAS PUR SER A INTEREST FROM DATE 10/18/07 ORIGINAL ISSUE DISCOUNT DUE 11/15/2035 5.000% MN 15	Margin	54241AAY9	10,000	84.684	8,468.40	0.40%	500.00	5.00%
LOS ANGELES CALIF DEPT WTR & PWR WTR SYS REV-SER A INTEREST FROM DATE 01/01/03 ORIGINAL ISSUE DISCOUNT PAR CALL 07/01/2012 FGIC/REINSURED BY NATL DUE 07/01/2043 5.000% JJ 01	Margin	544525DU9	50,000	101.367	50,683.50	2.60%	2,500.00	5.00%
RANCHO MIRAGE CALIF JT PWRS FING AUTH EISENHOWER MEDICAL CENTER SER A INTEREST FROM DATE 08/14/07 ORIGINAL ISSUE DISCOUNT PAR CALL 07/01/2017 DUE 07/01/2047 5.000% JJ 01	Margin	75212YAP6	25,000	86.01	21,502.50	1.10%	1,250.00	5.00%
SAN FRANCISCO CALIF CITY & CNT SENIOR SERIES HSG REV BD 2005 INTEREST FROM DATE 03/30/06 PAR CALL 07/20/2018 DUE 07/20/2047 5.000% JJ 20 CALL 07/20/16 102.000 ALTERNATIVE MINIMUM TAX	Margin	79765TCE3	50,000	93.95	46,975.00	2.40%	2,500.00	5.00%
SOUTHERN CALIF PUB PWR AUTH NA REV BDS 2007 INTEREST FROM DATE 10/11/07 ORIGINAL ISSUE DISCOUNT DUE 11/01/2033 5.000% MN 01	Margin	842471AY0	100,000	92.001	92,001.00	4.60%	5,000.00	5.00%
TOTAL MUNICIPAL BONDS					376,722.15	19.00%	21,031.00	

JOHN DOE & JANE DOE JTROS

Corporate Bonds : 15.15%

Security Description	Account Type	Symbol/ Cusip	Quantity	Current share price*	Current market value*	% of portfolio	Estimated annual income	Estimated annual yield
ALBERTSONS INC SR DEB INTEREST FROM DATE 05/09/00 DUE 05/01/2030 8.700% MN 01	Margin	013104AH7	40,000	87.25	34,900.00	1.80%	3,480.00	8.70%
ALBERTSONS INC DEBENTURES INTEREST FROM DATE 06/17/96 DUE 06/15/2026 7.750% JD 15	Margin	013104AC8	40,000	82.25	32,900.00	1.70%	3,100.00	7.75%
BANK AMER CORP 20 YR NC4 YR,30S-2S-.25% STEEPENER NOTE INTEREST FROM DATE 06/24/10 ORIGINAL ISSUE DISCOUNT PAR CALL 06/24/2014 DUE 06/24/2030 15.000% MJSD 24	Margin	06048WCJ7	55,000	80.00	44,000.00	2.20%	8,250.00	15.00%
BANK AMER CORP MEDIUM TERM SR NTS SER L INTEREST FROM DATE 10/14/10 ORIGINAL ISSUE DISCOUNT PAR CALL 07/15/2030 DUE 10/15/2030 10.000% JAJ0 15	Margin	06048WEE6	50,000	80.487	40,243.50	2.00%	5,000.00	10.00%
DYNEGY HLDGS INC SR NT INTEREST FROM DATE 04/12/06 IN DEFAULT DUE 05/01/2016 8.375%	Margin	DYN/16	50,000	72.50	36,250.00	1.80%		
GENERAL MARITIME CORP SR NT INTEREST FROM DATE 05/15/10 IN DEFAULT ORIGINAL ISSUE DISCOUNT PAR CALL 11/15/2015 DUE 11/15/2017 12.000% CALL 11/15/13 106.000	Margin	370290AF5	50,000	10.75	5,375.00	0.30%		

JOHN DOE & JANE DOE JTROS

Corporate Bonds : 15.15% (Continued from previous page)

Security Description	Account Type	Symbol/ Cusip	Quantity	Current share price*	Current market value*	% of portfolio	Estimated annual income	Estimated annual yield
GENERAL MTRS ACCEP CORP SMARTNOTES INTEREST FROM DATE 01/19/05 PAR CALL 11/15/2011 DUE 01/15/2025 7.250%	Margin	3704A0VZ2	100,000	87.221	87,221.80	4.40%	7,250.00	7.25%
TENET HEALTHCARE CORP INTEREST FROM DATE 11/06/01 DUE 11/15/2031 6.875% MN 15	Margin	88033GAV2	25,000	78.75	19,687.50	1.20%	1,719.00	6.88%
TOTAL CORPORATE BONDS					300,577.80	15.40%	28,799.00	

*Prices shown are supplied by an outside pricing service. These prices are provided only as a general guide to the value of your account.

End of Statement

Type of Accounts:

- 1. Cash
- 2. Margin
- 3. Dividend/Interest
- 4. Legal
- 5. Short Account
- 6. ESPP
- 9. DVP/RVP

Please notify us promptly of any inaccuracy or discrepancy to your account, you may contact our Compliance Officer with complaints by calling 800-225-6196 ext. 2235 or as to this account located at:

StockCross Financial Services
Attn: Compliance Department
9464 Wilshire Blvd.
Beverly Hills, CA 90212

All oral communications should be re-confirmed in writing to protect your rights under the Securities Investors Protection Act. If any material change should occur in your investment objectives or financial situation, we request that you promptly advise us in order to assist us in maintaining current background and financial information. Information with respect to commissions and other charges related to the execution of option transactions has been included in confirmations of these transactions previously furnished to you, however, a summary of this information will be made available to you promptly upon your written request.

Explanation of Financial Summary

Combined Balance

If you maintain more than one type of account with us (see legend for security account types) we have combined all such money balances except for the Type 5 Short Account and recorded the net opening balances and the net closing balance for the statement period.

Equity

This amount represents the total market value of all long securities minus any short securities (unpriced items excluded) plus any credit balance minus any debit balance in all account types.

Short Account Balance

If you have sold securities which you do not own under the short sale rule, we have, in accordance with regulations, segregated the proceeds from such transactions into your 'Type 5 - Short Account'. The Short Account balance is not included in the net combined money balances. Any market increases and/or decreases from the original sale price will be marked to market and such increases and/or decreases will be transferred to your Margin Account on a weekly basis. The daily closing price is used in determining any appreciation or depreciation of the securities sold short.

The FINRA Public Disclosure Program has a hotline at 800- 289- 9999, a website at www.finra.org, and descriptive brochures for investors.

Priced Portfolio Value

This amount represents the net total market value of the securities listed in your portfolio summary for which the various quotation services were able to obtain appraisal on the last day of the statement period. Because of the nature of the data provided by the quotation service, StockCross Financial Services, Inc. (StockCross) cannot guarantee the accuracy of such prices, particularly in securities that are not actively traded.

This is a combined statement on your Margin Account and of a special miscellaneous account maintained under Section 4(F)(6) of Regulation R issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection upon request. Customer Free Credit Balances may be used in the Firm's Business subject to the limitations of 17CFR section 240, 15c3-3 under the Securities Exchange Act of 1934

You have the right to receive from us in the course of normal business operation, upon demand, the delivery of:

- a. Any free credit balance to which you are entitled.
- b. Any fully-paid securities to which you are entitled.
- c. Any securities purchased on margin upon full payment of any indebtedness to us.

All securities held by StockCross for you, but which are not registered in your name, are commingled with identical securities being held for other clients. In the event any securities so held are 'called' by the issuer, we will determine the beneficial ownership thereof by an impartial random selection system.

Options

Option customers please note: Upon receipt of an assignment notice from the Options Clearing Corporation StockCross allocates the assignment to customer(s) with a short position according to an automated random selection process as approved by the NYSE.

Foreign Currency Wire Transfers

StockCross seeks to direct wire transfer payments so that they are submitted accurately and received promptly by its customers. StockCross has entered into an agreement with a third party provider to facilitate foreign currency transactions for StockCross customers who request the receipt of funds in a currency other than US Dollars. The third party provider charges a fee for its foreign currency conversion services which is passed through to the customer by StockCross. A portion of this fee is retained by StockCross. The nature and source of any such remuneration in connection with a specific transaction will be disclosed to you upon written request.

SIPC Protection of Free Credit Balances

The Securities Investor Protection Act of 1970 protects "customer" claims of \$500,000 per customer, no more than \$250,000 of which can be claims for cash. Protection under the Act is available for a cash balance, however, only if that balance is in an account for the purpose of purchasing securities or as the result of a sale. The brochure is available at www.sipc.org or 202-371-8300. Cash balances created or maintained solely for the purpose of earning interest are not protected under the ACT.

Additional Coverage

StockCross has purchased from a London Insurer \$50,000,000.00 in additional protection. Coverage does not protect against loss of market value of securities.

Statements and Trades

A StockCross Financial Statement is available for your personal inspection at its office or a copy of it will be mailed upon your written request. You may have received confirmation for trades which do not appear on our statement. If the settlement date of the trade as shown on the confirmation was later than the date that appears at the top of your statement, the trade will appear on your next regular statement. For your information, the interest rate charged by StockCross on your debit balance is based on a Base Rate determined by StockCross and the amount of the debit balance. The rate will change without notice as the StockCross Base Rate is increased or decreased. In computing interest, all cash and margin accounts are netted, with the exception of the Type 3 - Short Account, and the charge is made on the net debit balance.

StockCross Base Rate

Debit Balance	Rate
Rate \$0.01 - \$24,999.99	Base Rate plus 1.00%
\$25,000 - \$99,999.99	Base Rate
\$100,000 - \$249,999.99	Base Rate minus 0.25%
\$250,000 - \$499,999.99	Base Rate minus 0.50%
\$500,000 - \$999,999.99	Base Rate minus 1.00%
\$1,000,000 and over	Base Rate minus 1.50%

We may, whenever in our judgement it appears necessary for our protection, and without further notice to you, close out your account(s) in whole or in part by selling securities therein held, at public or private sale, or by buying at private or public sale any and all securities sold but not received from you for delivery. In the event such sale or purchase by us, you shall remain liable for any balance due and for any loss incurred by us in consummating such transaction(s).

Order Routing

On request, the firm will disclose to its customers the identity of the venues to which the customer's orders were routed in the six months prior to the request, whether the orders were directed orders or non-directed orders and the time of the transactions, if any, that resulted from such orders. In addition, the firm has prepared a report pursuant to an SEC rule requiring that all brokerage firms make publicly available quarterly reports on their order routing practices. The report is available at http://www.stockcross.com, or a customer can request a written copy without cost.

