

OFFICE USE ONLY
<span style="font-size: x-small;">APPROVED:</span> <span style="font-size: x-small;">DATE:</span>

## ACCOUNT TYPE

**IMPORTANT - This is only for accounts that are converting from a pre-existing StockCross IRA to a new StockCross Roth IRA. If you are NOT converting then you do not need to complete this form.**

- New Roth                     
  Existing Roth                     
  Inherited Roth

## ROTH CONVERSION

Name/Account Title \_\_\_\_\_ Phone \_\_\_\_\_

Traditional IRA Account # \_\_\_\_\_ Roth IRA Account # \_\_\_\_\_ Social Security #/Tax ID \_\_\_\_\_

I wish to convert my existing StockCross IRA to a StockCross Roth IRA as specified below:

- Full Conversion in-kind** - Convert the entire balance of my current StockCross IRA to a StockCross Roth IRA. (The conversion will be based on the existing positions in your current StockCross IRA on the date of conversion.)
- Partial Conversion in cash** - Convert the following dollar amount from my current StockCross IRA to a StockCross Roth IRA. (You must have sufficient cash in your core account. If liquidating trade is necessary, please consult your investment representative.)

Dollar Amount to convert \$ \_\_\_\_\_

- Partial Conversion in-kind** - Convert the following positions from my current StockCross IRA to a StockCross Roth IRA. List the investments to be converted in-kind below:

Name of Investment	# of Shares or \$ Amount	Cusip or Symbol

### Withholding Election

- Federal** - I WANT to have federal income tax withheld from the distribution from my current StockCross IRA for conversion to my new StockCross Roth IRA at the rate of: \_\_\_\_\_ % (not less than 10%)
- State** - I WANT to have state income taxes withheld with the minimum amount or percentage, if any, as determined by the requirements of my state of residence. (Note: Do not complete this section if you are a resident of AK, FL, HI, MS, NH, NV, SD, TV, TX, WA, WY. If your state does not provide a minimum amount or percentage for withholding and you do not provide a percentage, state income taxes will not be withheld from the conversion.)
- No Withholding** - I elect to not have Federal income tax withheld. I understand that I am liable for the payment of Federal income tax on the amount received. I also understand that I may be subject to Federal income tax penalties under the estimated tax payment rules if my payments of the estimated tax and withholding are insufficient.

I assume full responsibility for this conversion transaction and will not hold StockCross liable for any adverse consequences that may result. I hereby irrevocably designate this as a conversion contribution. Conversion Value \$ \_\_\_\_\_

Signature X	Date	Signature X	Date
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